

Soltis Premium Income

A Buy-Write Strategy

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What is a Buy – Write Strategy?

- Buy stock & sell covered call
 - Collect Income
 - Mitigate Risk
- **Soltis Buy – Write Strategy** sells covered calls & *covered puts* to reduce downside risk

Covered Calls Generate Income & Mitigate Risk



Benchmark & Investable Universe

- The strategy is benchmarked to the S&P 500 Buy-Write Index (BXM Index)
- The BXM Index has averaged a gross monthly yield of 1.8% since inception in 1986*
- Soltis Buy-Write Strategy anticipates an annualized yield of 8% - 12% (approximately 1% per month) and is more conservative than the benchmark to preserve principal & compound returns over time

Stocks are
selected from the
S&P 500 Index

Select ETFs can
be incorporated
into the strategy

Sector ETFs

Index ETFs

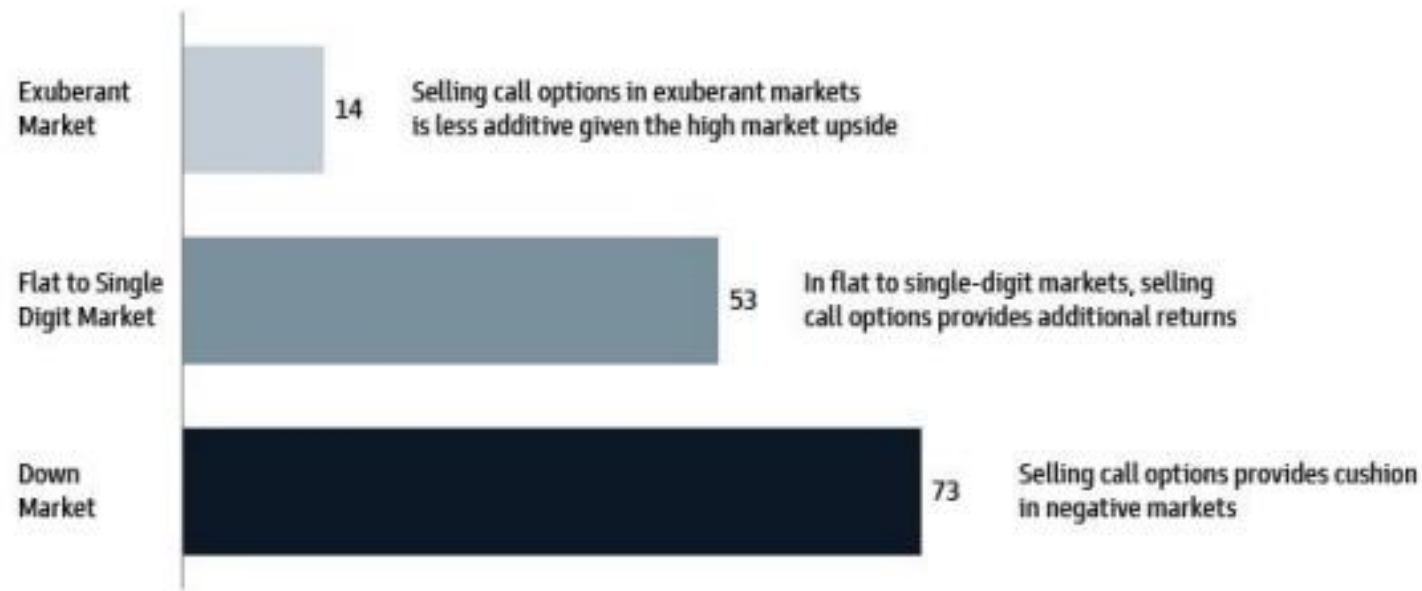
Performance Buy – Write Index vs S&P 500 Index



Buy – Write Strategies Tend To Outperform in Flat & Bear Markets

Exhibit 2: Buy-Write Strategy: Frequency of Outperformance Across Different Market Regimes

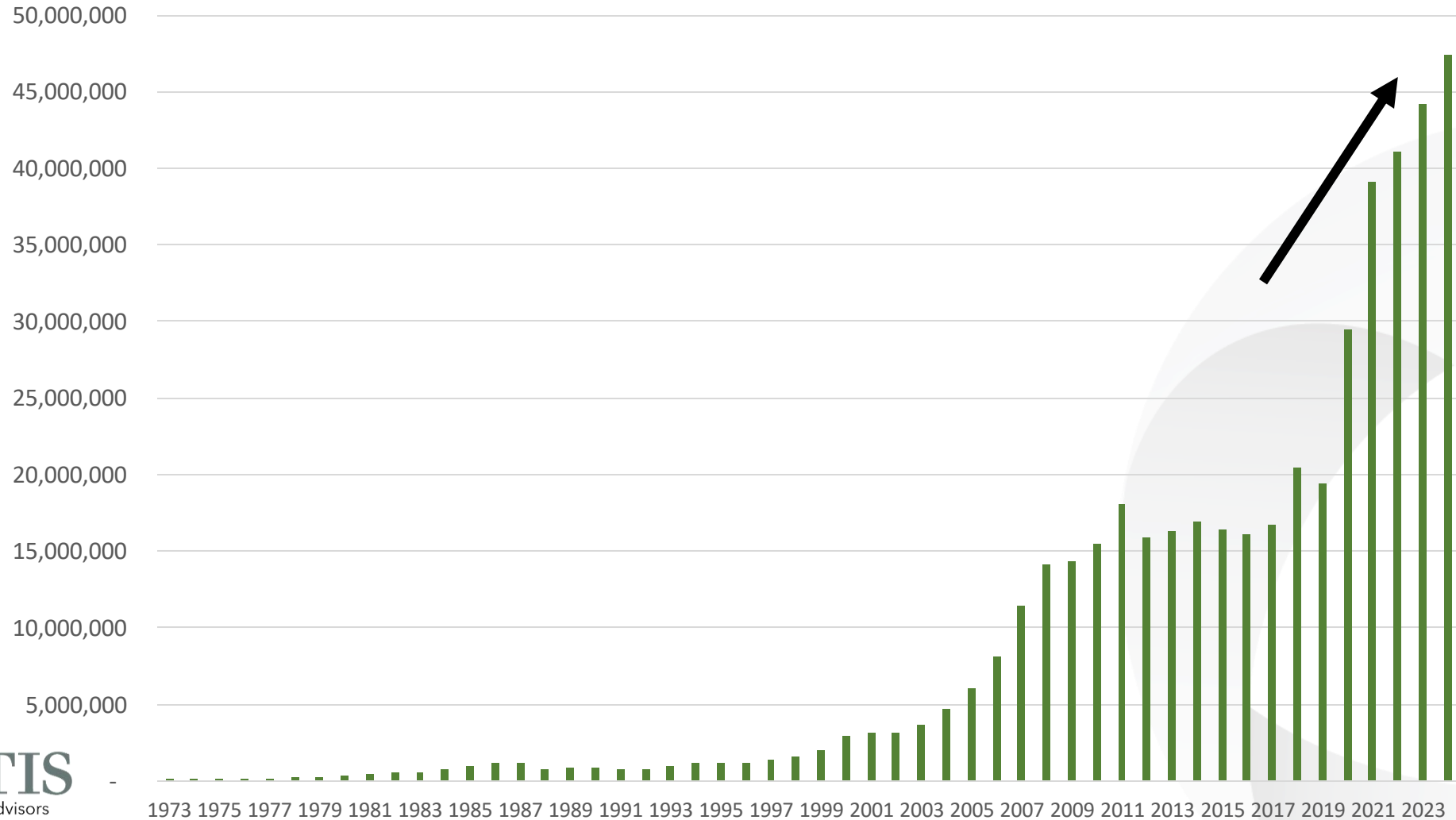
Rolling 1-Year Returns (Quarterly Basis): CBOE S&P 500 2% OTM BuyWrite Index vs. S&P 500 (%)



Source: Morningstar Direct, Goldman Sachs Asset Management as of June 30, 2022. Time periods represent rolling 4 quarter window rolled each quarter. Time frame shown is 3Q 2002 – 2Q 2022. **Past performance does not guarantee future results, which may vary.** The S&P 500 represents the overall market in the example above. Negative Market is defined as returns less than zero, Flat to Single Markets is defined as returns from 0-9.99%. Exuberant Market is defined as returns greater than 10%.

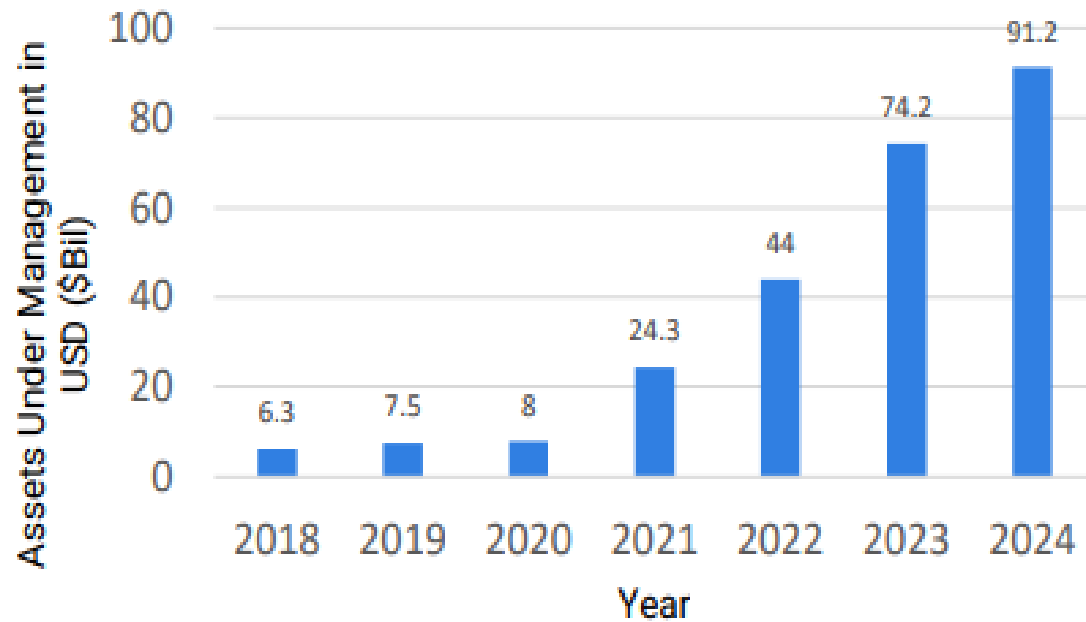
Options Market Has Grown Exponentially Since COVID-19 Pandemic in 2020

Options Average Daily Volume 1973 - 2024



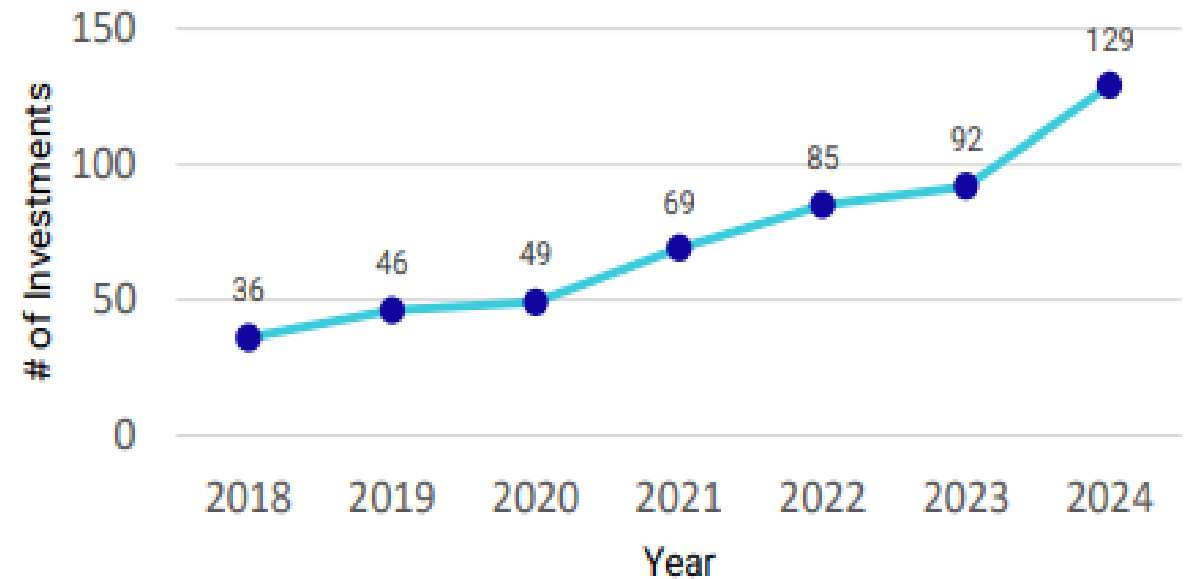
Income Generating Strategies Have Become More Popular As Baby Boomers & Gen X Generations Retire

Morningstar Derivative Income Category AUM



Source: Morningstar Direct as of June 30, 2024

of Investments in Morningstar Derivative Income Category

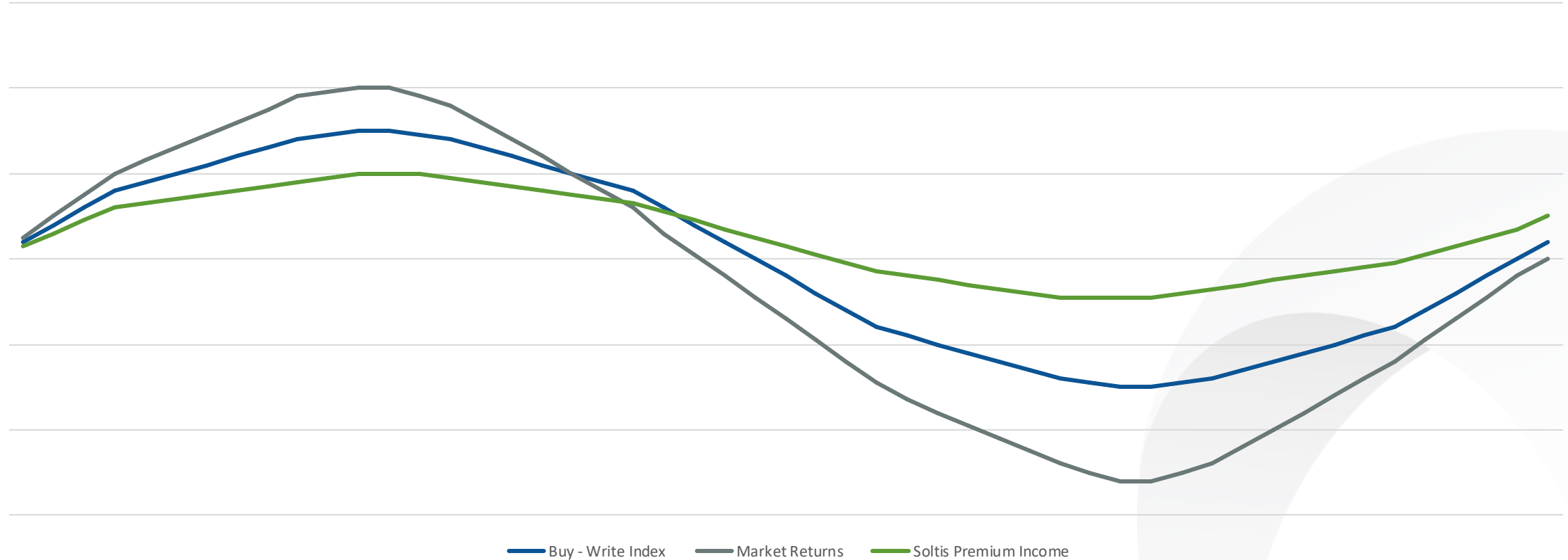


Source: Morningstar Direct as of June 30, 2024

Return Profile

If Portfolio is down -50%, it must be up 100% just to break even

Buy - Write: Potential Stylized Return Profile



Soltis Premium Income Seeks To Avoid Large Drawdowns To Compound Wealth Over Time

Option Contracts Use Cases

Mitigate Risk

- Options first role in financial markets is to reduce risk in individual securities and portfolios

Generate Income

- Option contracts can also be used in portfolios to generate income

Option Contracts

Call Option

- Buyer of Call Option: the right to buy a stock at a specific price
- Seller of Call Option: Collect premium and sell stock at a higher price
 - Soltis Premium Income Sells Covered Calls to Collect Income and Sell Stocks at a Higher Price

Put Option

- Buyer of Put Option: The right to sell a stock at a specific price
- Seller of Put Option: Collect premium and buy stock at a lower price
 - Soltis Premium Income Sells Cash Secured Puts to Collect Income and Buy Stocks at a Lower Price

Soltis Uses Options In Premium Income To Buy Low & Sell High

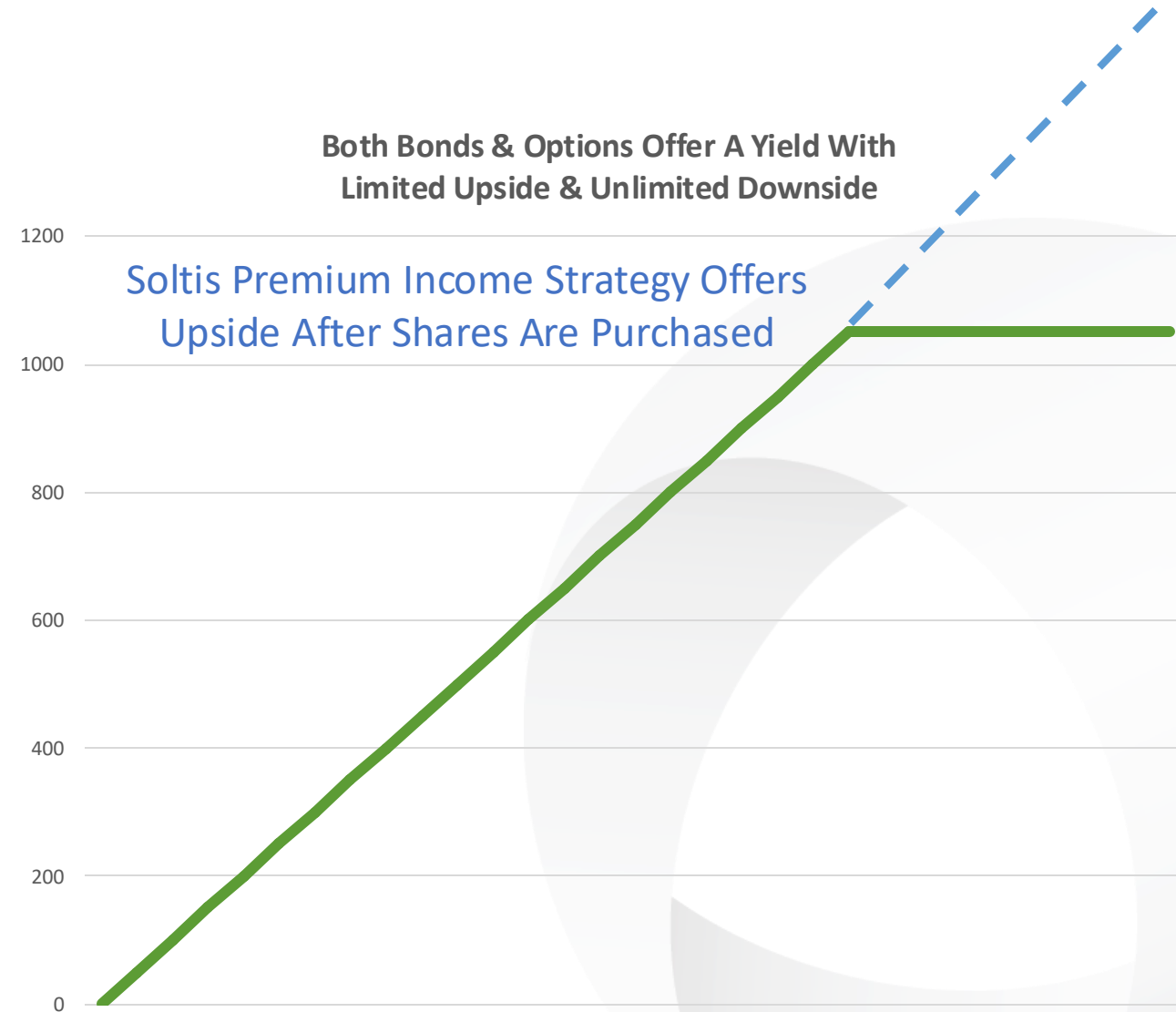
Selling Put Options Is Like Purchasing A High Yield Bond on High Quality Companies

Similarities:

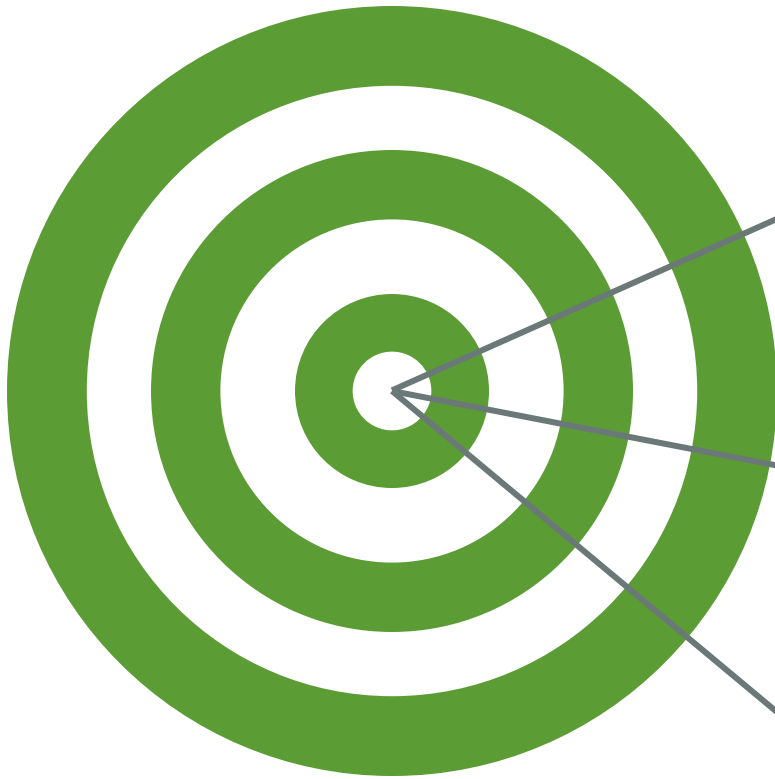
- Both offer income / yield
- Both have limited upside and unlimited downside

Differences:

- Options offer a higher yield than a high yield bond with better credit quality
 - High Yield Bonds Are Offered On Low Quality Businesses
 - Put Options Are Sold on High Quality Businesses But Carry Equity Risk

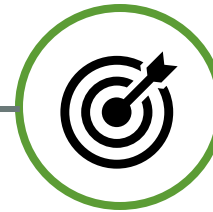


Objective



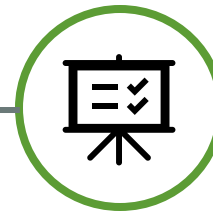
Objective

Generate consistent monthly income by selling covered option contracts



Anticipated

Annualized yield of 8% - 12%*



Investor Profile

Investors seeking current income and are willing to accept equity risk



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Soltis Premium Income Features

Attributes of Potential Investor

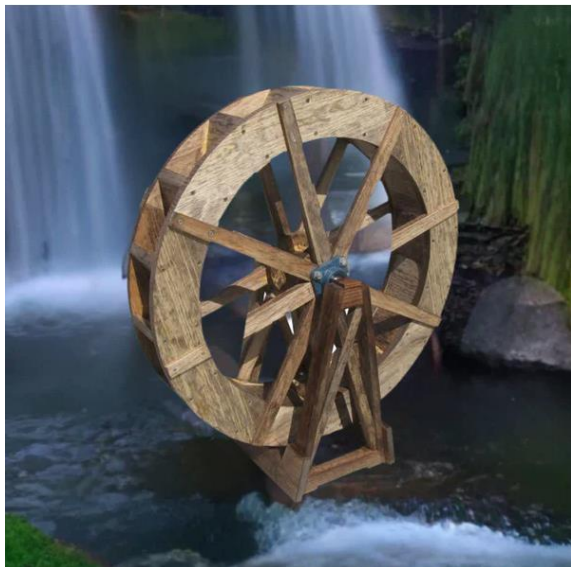
- Investor prioritizes income over capital appreciation
- Desire to buffer downside risk of the equity market
- Is willing to hold the underlying stock and accepts equity risk

Strategy Characteristics

- Yields will fluctuate on a month-by-month basis and anticipates 8% - 12% annually
- The strategy will receive shares on covered puts that expire in the money and will deliver shares on covered calls that expire in the money
- Investors are paid an income stream to wait to enter stocks at lower prices

Sources of Income

- Monthly distributions from money markets while investor waits to take delivery of shares
- Monthly income collected from options premiums of both calls and puts
- Dividends from select stocks once ownership of stocks are realized at lower prices



The Wheel

Park Cash in
Money Market
To Collect 4%

Sell Out of the
Money Cash
Secured Puts

If Stock Price Does *Not*
Go Below Strike Price,
Money Stays in Money
Market and Puts Are Sold
Again the Next Month

Options Investment Cycle

Get Called Away
and Go Back into
Money Market

Take Delivery of
Shares if Stock Price
Goes *Below* Strike

If Stock Does Not Get Called
Away, Calls Are Sold Again

Sell Out of the
Money
Covered Calls

Performance

Stats & Performance

As of April 25, 2025

Stats & Performance							
	Total Assets	Total Return (Gross Fees)	Total Return (Net of Highest Fee)	Annualized Yield (TTM)	Sharpe Ratio	Beta	Alpha
Soltis Premium Income	\$80 Million	10.63%	9.37%	15.27%	0.98	0.33	1.29%
BXM Index		9.01%	8.72%*	7.87%	0.52	0.45	-1.32%
+/- Benchmark		+1.62%	-	+7.4%	+0.46	+.12	+2.61%

Example & Portfolio Statistics					
Size of Portfolio (Example)	Monthly Premium Targeted (Example)	Annual Premium Targeted (Example)	Percentage Out of the Money (Average)	Anticipated Monthly Yield**	Anticipated Annualized Yield**
\$500,000	\$5,000	\$60,000	2% - 5%	1.00%	12.00%

*Inception Date May 22, 2023



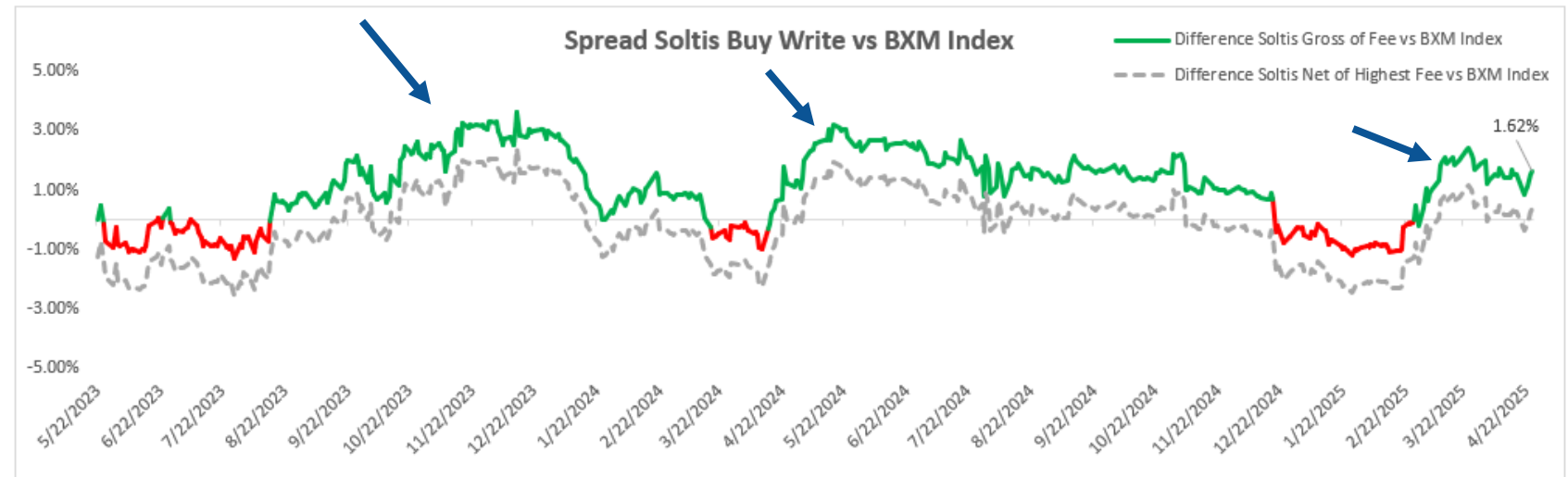
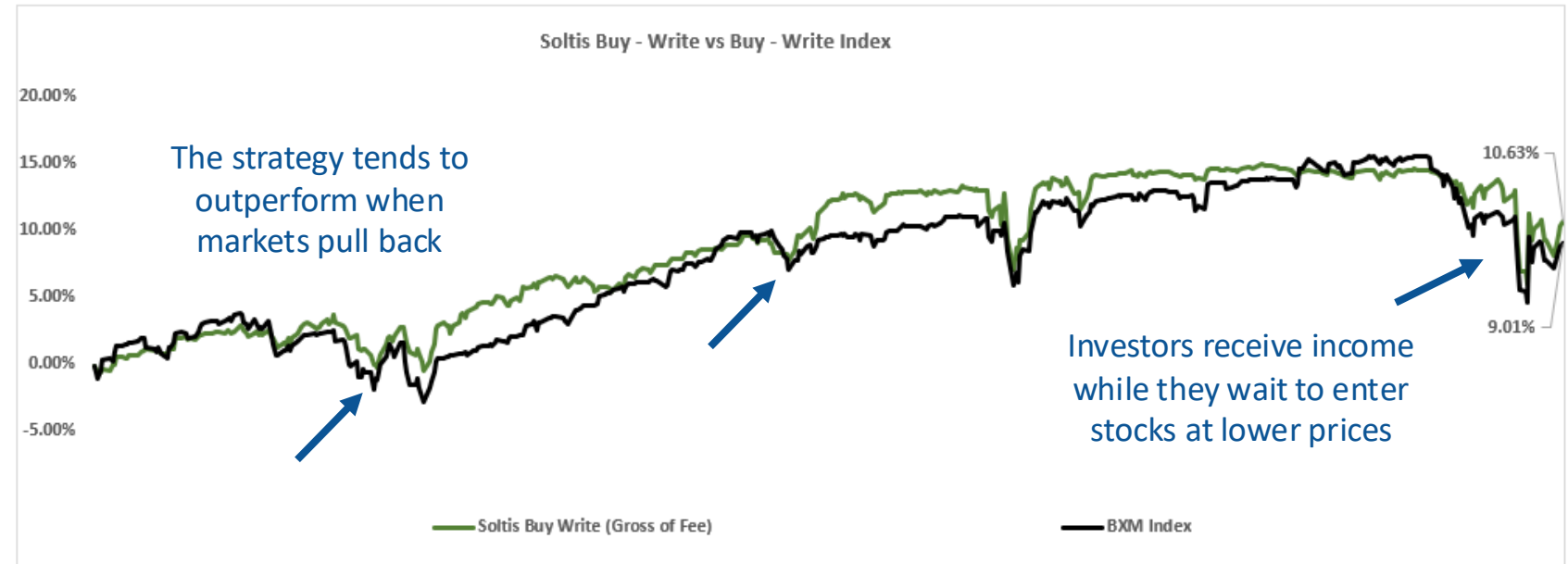
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Ticker	Name	Minimum Amount
SPY	S&P 500 Index	\$500,000
QQQ	Nasdaq Index	\$500,000
XL_	Sector ETFs	\$500,000
MNST	Monster Inc.	\$500,000
AAPL	Apple Inc.	\$500,000
NKE	Nike Inc.	\$500,000
SBUX	Starbucks Corp.	\$500,000
FI	Fiserv Inc.	\$500,000
ALSN	Allison Transmission	\$500,000
TWLO	Twilio	\$500,000
NVDA	Nvidia	\$500,000
GOOGL	Alphabet Inc.	\$500,000
ADBE	Adobe	\$700,000
WSM	William Sonoma	\$750,000
MSFT	Microsoft Inc.	\$800,000
V	Visa	\$900,000
ZTS	Zoetis	\$900,000
HSY	Hershey Corp.	\$980,000
AMAT	Applied Materials	\$1,000,000
POOL	Pool Corp.	\$1,100,000
ASML	ASML Corp.	\$1,150,000
ADSK	Autodesk Inc.	\$1,200,000
ADP	Automatic Data Processing	\$1,250,000
ULTA	Ulta Beauty	\$1,300,000
TSCO	Tractor Supply	\$1,350,000
ACN	Accenture	\$1,650,000
DPZ	Dominoes Pizza	\$2,000,000
ANET	Arista Networks	\$2,200,000
LMT	Lockheed Martin	\$2,400,000
SPGI	S&P Global	\$2,400,000
UNH	United Health	\$2,800,000
MCK	McKesson	\$2,900,000
MA	Mastercard	\$3,000,000
COST	Costco	\$4,100,000
LLY	Eli Lilly	\$4,250,000
NFLX	Netflix	\$4,300,000
ORLY	O'Reilly Automotive	\$5,000,000
MTD	Mettler Toledo	\$6,800,000
FICO	Fair Isaac Corp.	\$8,000,000
AZO	AutoZone Inc.	\$14,500,000
BKNG	Booking Holdings	\$20,000,000

Soltis Premium Income vs Benchmark

As of April 25, 2025

Statistic	Soltis	BXM
Total Return (Gross Fees)	10.63%	9.01%
Total Return (Net of Highest Fee)	9.37%	8.72%*
Annualized Yield (TTM)	15.27%	7.87%
Standard Deviation	5.17%	7.16%
Sharpe Ratio	0.98	0.52
Alpha	1.29%	-1.32%
Beta	0.33	0.45
Calmar Ratio	2.93	1.26



*Since Soltis Inception of May 22, 2023

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Risk Adjusted Stats Soltis Premium Income vs Benchmark

As of April 25, 2025

Statistic	Soltis	BXM
Standard Deviation	5.17%	7.16%
Sharpe Ratio	0.98	0.52
Alpha	1.29%	-1.32%
Beta	0.33	0.45
Calmar Ratio	2.93	1.26

Statistic	Soltis	BXM
Sortino Ratio	1.52	0.77
Treynor Ratio	15.11	8.22
Drawdown (%)	-3.62%	-7.13%
Upside Capture	0.43	0.51
Downside Capture	0.18	0.48



*Since Soltis Inception of May 22, 2023

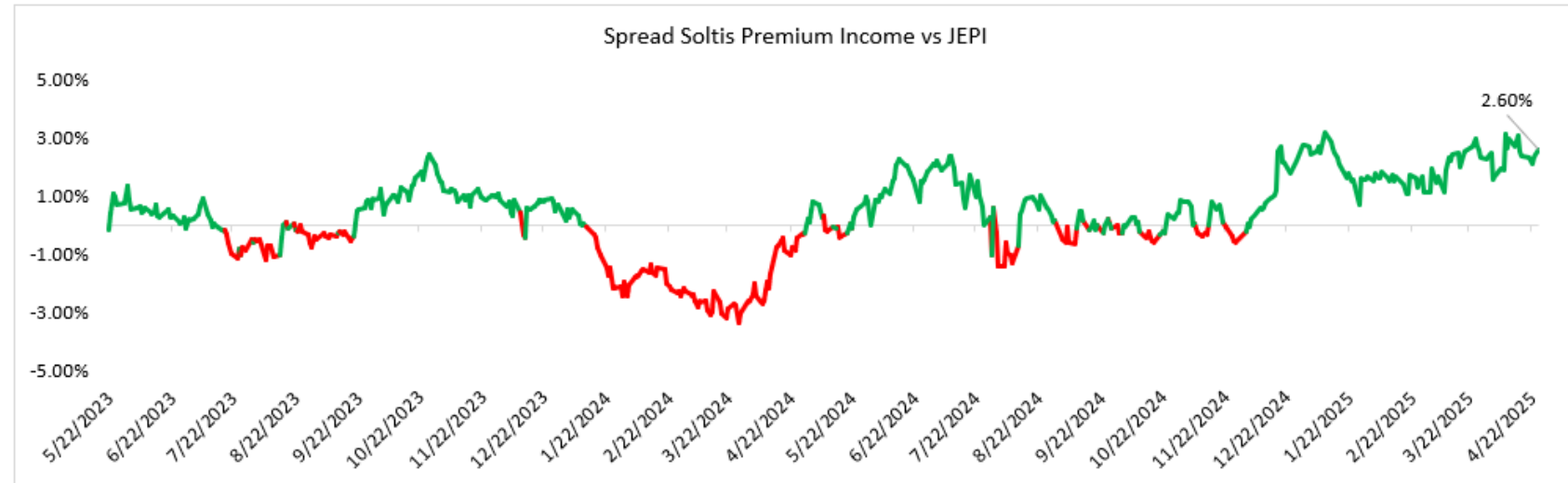
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Soltis Premium Income vs JP Morgan Premium Income

As of April 25, 2025

Statistic	Soltis	JEPI
Total Return (Gross Fees)	10.62%	8.03%
Total Return (Net of Highest Fee)	9.37%	8.03%
Annualized Yield (TTM)	15.27%	7.47%
Standard Deviation	5.17%	11.05%
Sharpe Ratio	0.98	0.33
Alpha	1.29%	-3.35%
Beta	0.33	0.60
Calmar Ratio	2.93	1.44



Since Soltis Inception of May 22, 2023

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Soltis Premium Income vs JP Morgan Equity Premium Income (JEPI)

Differences

Soltis Premium Income

- *Focused on income* with capital appreciation as a secondary benefit
- Provides consistent income generated from option premiums, dividends and money markets with some equity exposure
- Targets 8% - 12% annualized yield*
- Portfolio constructed to outperform in bear markets
- Sells covered calls and covered puts

JP Morgan Equity Premium Income (JEPI)

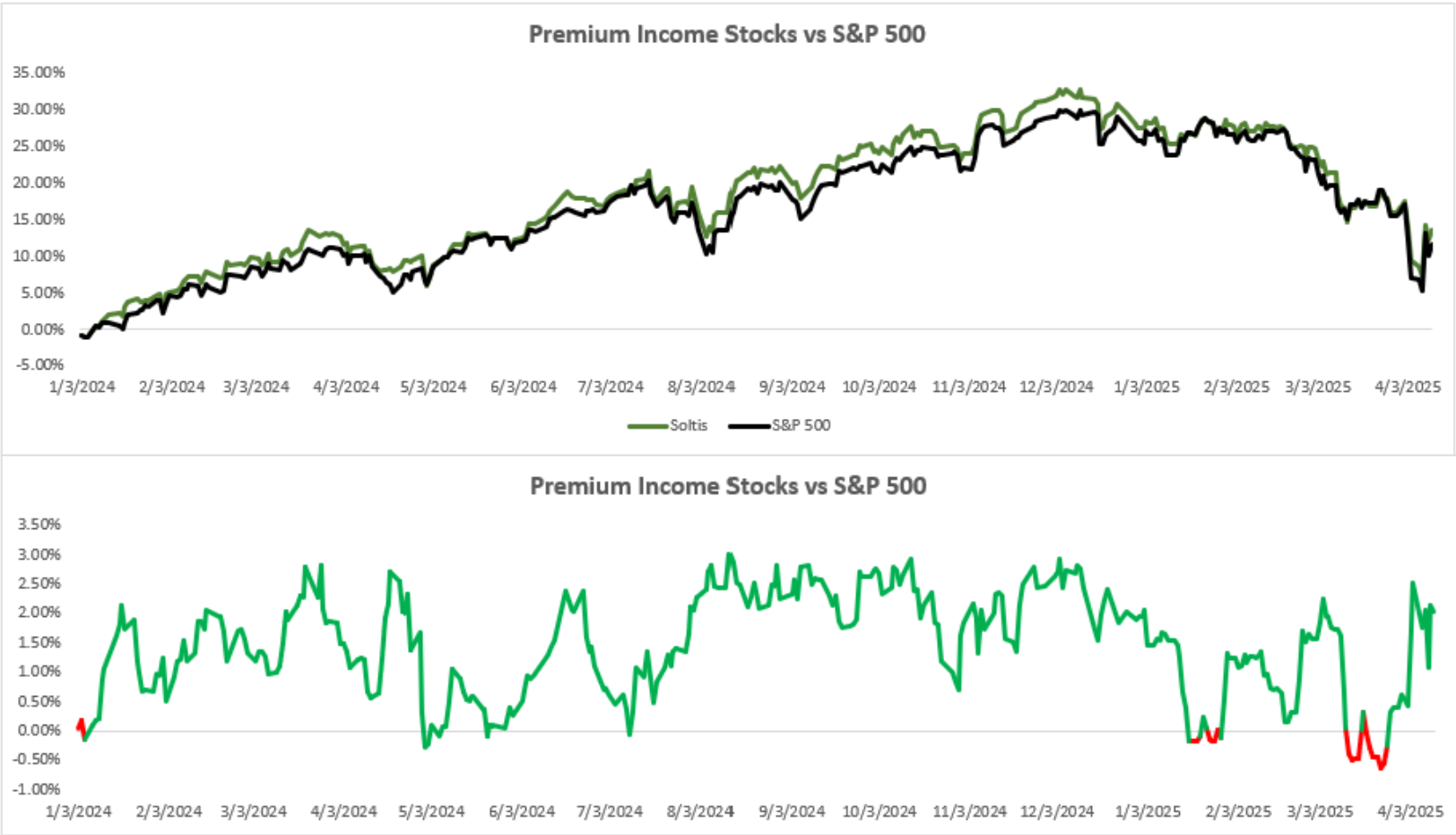
- *Focused on capital appreciation* with income as secondary benefit
- Designed to track closely with the S&P 500 while lowering volatility and collecting some income through option premiums
- Targets 7% - 9% annualized yield*
- Portfolio constructed to outperform in bull markets
- Only sells covered calls

How Have Premium Income Stocks Performed Without Options?

Soltis Premium Income Stocks vs S&P 500

As of April 11, 2025

Statistic	Soltis	S&P 500
Total Return (Gross Fees)	13.61%	11.59%
Total Return (Net of Highest Fee)	12.36%	11.56%
Standard Deviation	13.64%	12.56%
Sharpe Ratio	0.62	0.53
Alpha	1.40%	-
Beta	1.07	1
Sortino Ratio	1.13	1.02
Treynor Ratio	7.85	6.54



*Since Soltis Inception of January 03, 2024

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Reporting

Monthly Cash Flow Reports Are Sent Monthly

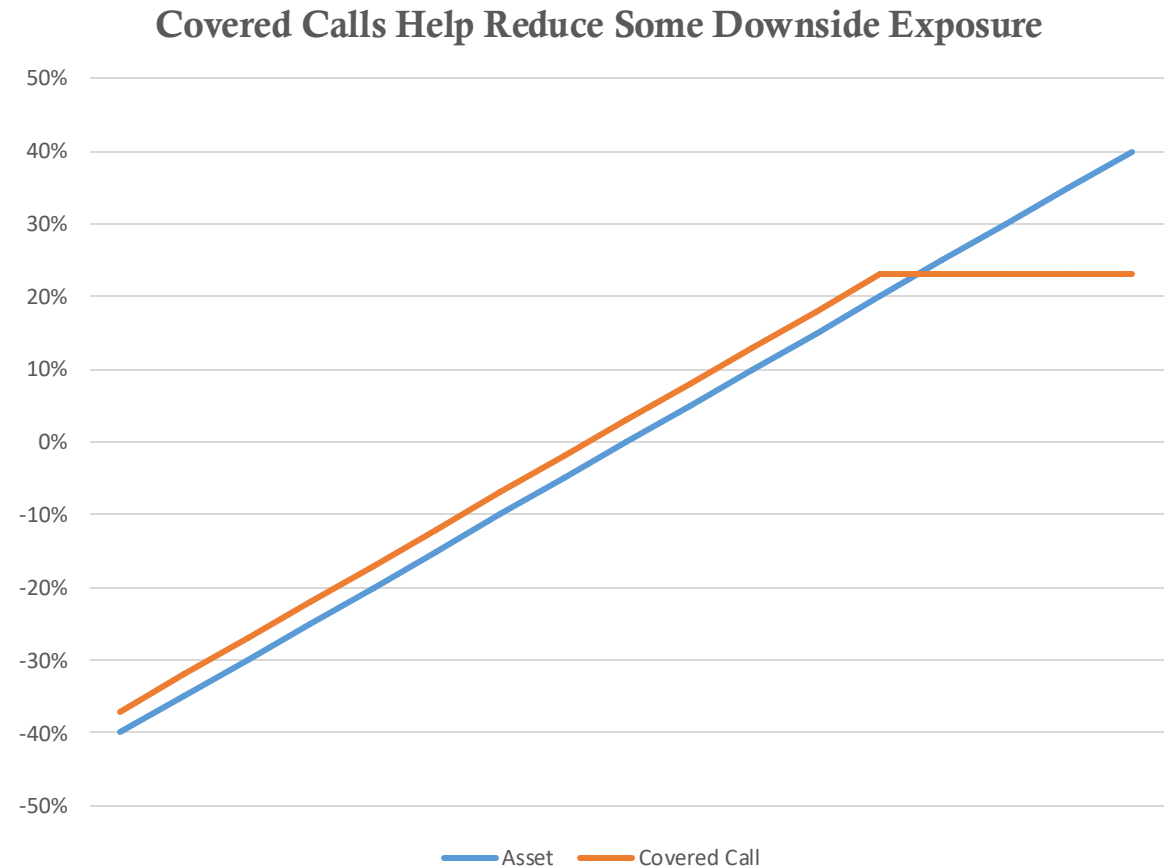
Example #1	
Client Since Inception	
Inception Date	8/21/2023
Portfolio Size	\$1,000,000.00
Premium Collected	\$190,113.16
Money Market	\$51,374.35
Dividends	\$13,902.62
Total Cash Flow	\$255,390.13
Average Monthly Yield	1.34%
Annualized Yield	16.13%
Total Return*	9.63%
March Cash Flows	
Portfolio Size	\$1,000,000.00
Premium Collected	\$13,860.28
Money Market	\$2,739.44
Dividends	\$1,898.58
Total Cash Flow	\$18,498.30
Monthly Yield	1.85%
Annualized Yield	22.20%
*Total Return Through 04/01/2025	

Example #2	
Client Since Inception	
Inception Date	4/21/2025
Portfolio Size	\$3,000,000.00
Premium Collected	\$46,266.40
Money Market	\$0.00
Dividends	\$0.00
Total Cash Flow	\$46,266.40
Average Monthly Yield	1.54%
Annualized Yield	18.51%
Total Return	-
April 2025 Cash Flows	
Portfolio Size	\$3,000,000.00
Premium Collected	\$46,266.40
Money Market	-
Dividends	-
Total Cash Flow	\$46,266.40
Monthly Yield	1.54%
Annualized Yield	18.51%
Initial Hedge	13.98%

How Do Options and Soltis Premium Income Strategies Benefit Client Portfolios?

Option Strategies Value Proposition In Portfolios

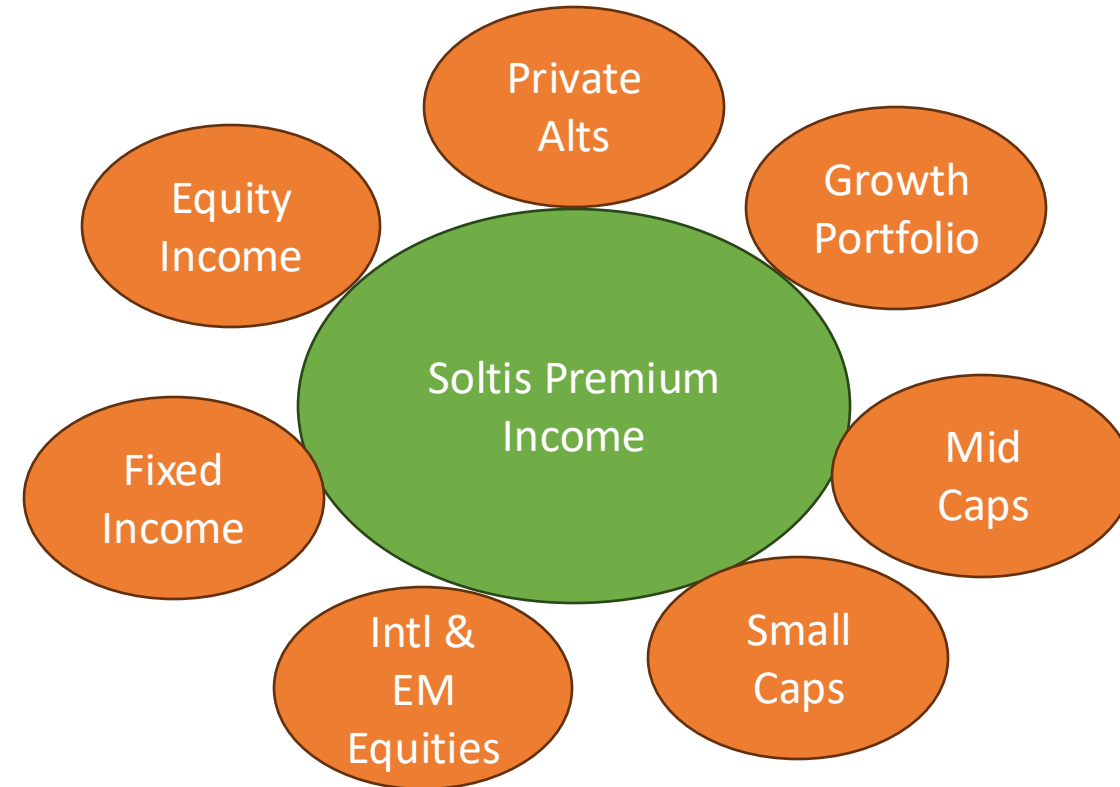
- Risk mitigating factors in options helps reduce downside in bear markets
 - Selling out of the money puts provides small barrier of protection
 - Collecting income from calls helps buoy portfolio in downturn
- Option premiums become more valuable with increased volatility
 - Asset in portfolio that benefits from volatility



Where Does Soltis Premium Income Fit In Client Portfolios?

Can Be Used As Core Position in Portfolio For Conservative Equity Investors Seeking Income

- Equity like risk that is mitigated by options
- Generates strong cash flows for income focused investors
- Core position in core satellite approach due to S&P 500 exposure



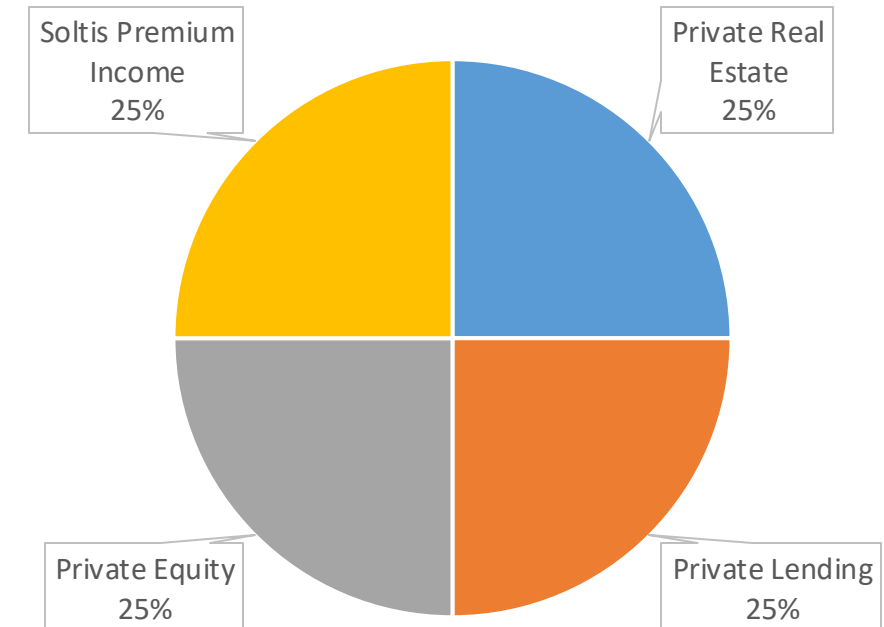
Soltis Premium Income Can Be Used To Offset Risks In Other Income Generating Assets Like Private Alts, Structured Notes & High Yield Bonds

Can Replace or Augment Other Income Generating Assets

- Better yield than high yield bonds with no credit risk
- Potential better returns than structured notes due to cutting out bank and trading platform (also no credit risk)
- Better liquidity than high yield bonds and structured notes
- Daily liquidity can offset liquidity risks in private alternatives
- Similar income and returns with no credit risk

Can Be Used To Diversify Alternatives Against Credit & Liquidity Risk

Alternatives Allocation



■ Private Real Estate ■ Private Lending ■ Private Equity ■ Soltis Premium Income

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Investment Terms

Minimum Investment: \$500,000

Strategy Entry: 4th Monday of Every Month

Account Types:

- IRA
- Individual
- Corporate

Liquidity:

Daily If Needed, No Lock Up

Options income is treated as short term capital gains/losses for taxable accounts

- Some long-term capital gains could be incurred
- Capital losses will be used to reduce tax liabilities in taxable accounts

- Options carry some commission costs retained by custodian

Disclaimer

The information contained herein is intended for educational purposes only and should not be construed as personalized investment advice. Third-Party sources referred to in this presentation are believed to be accurate however Soltis does not warrant or guarantee any such information. Please remember that past performance is no guarantee of future results, and there is no guarantee that future investments will be profitable. For more information, please contact Soltis or go to www.adviserinfo.sec.gov and review our ADV Brochure.



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Aligned with purpose.



Soltis Investment Advisors is proud to be among the first investment advisors globally to successfully complete the

*Targeted Return Disclosure

- The use of targeted returns does not reflect the performance of any existing accounts in this strategy. Using targeted performance results has many inherent limitations. They are generally prepared with the benefit of hindsight, may not reflect actual trading of the strategy shown and therefore do not reflect the impact that economic and market factors may have had on investment decisions. Past performance is not a guarantee of current or future results. As with all investments, future performance is subject to various risks and uncertainties, including changes in market or economic conditions and the possibility that investors may lose some or all their money. As a result, actual account performance may differ materially from the historical performance data provided. The pricing above is reflective of current market prices which may fluctuate between when this presentation is made and portfolio implementation. Each option write will vary in premium received, contract length, and out-of-the money percentage. In order to utilize options, clients must complete an Options Account Application wherein additional risks are disclosed. This material has been prepared solely for informational purposes and should be considered confidential and not be circulated to any person or entity without the express consent of Soltis.